



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Carl W. Dirk

Serial No.: 10/688,200

Filed: October 17, 2003

For: CUSTOMIZABLE SPECTRAL PROFILES  
FOR FILTERING

Group Art Unit: Unknown

Examiner: Unknown

Atty. Dkt. No.: UTSE:099US

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS DD, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

January 13, 2004

Date

*Michael C. Barrett*

Michael C. Barrett

**INFORMATION DISCLOSURE STATEMENT**

MS DD

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

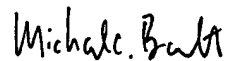
In accordance with 37 C.F.R §§ 1.97(g), (h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be

an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/UTSE:099US.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,



Michael C. Barrett  
Reg. No. 44,523  
Attorney for Applicant

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Date: January 13, 2004

Form PTO-1449 (modified)

Atty. Docket No.

UTSE:099US

Serial No.

10/688,200

List of Patents and Publications for Applicant's

Applicant

Carl W. Dirk

INFORMATION DISCLOSURE STATEMENT

Filing Date:

October 17, 2003

Group:

Unknown

(Use several sheets if necessary)

U.S. Patent Documents

See Page 1

Foreign Patent Documents

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Other Art

See Page 1

## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
	A1	2002/0012461	1/31/02	MacKinnon and Stange	382	164	5/16/01
	A2	5,173,808	12/22/92	Auer <i>et al.</i>	359	722	4/10/89
	A3	5,267,061	11/30/93	Ansley and Haseltine	359	15	6/12/92
	A4	5,786,591	7/28/98	Asahi	250	226	3/11/97
	A5	6,031,653	2/29/00	Wang	359	247	8/27/98
	A6	6,075,872	6/13/00	McGuire	382	100	11/17/98
	A7	6,154,708	11/28/00	Koachi	702	40	5/20/98

## Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B1	WO 01/36864	11/20/00	PCT			
	B2	WO 02/063206	2/1/02	PCT			
	B3	WO 92/01557	7/19/91	PCT			

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

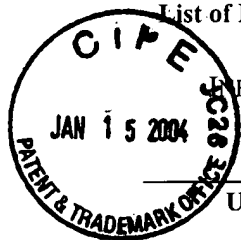
Exam. Init.	Ref. Des.	Citation

25361550.1

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.





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January 13, 2004

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Date

*Michael C. Barrett*

Michael C. Barrett

**MS DD**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

RE: *U.S. Patent Application No. 10/688,200 entitled "CUSTOMIZABLE SPECTRAL PROFILES FOR FILTERING" – Carl W. Dirk*  
*Our reference: UTSE:099US*  
*Client reference: UTEP:02-010*

Sir:

Enclosed for filing in the above-referenced patent application is an Information Disclosure Statement, Form PTO-1449, and references A1-A7 and B1-B3.

No fees are believed to be due in connection with the filing of this Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/UTSE:099US.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

*Michael C. Barrett*

Michael C. Barrett  
Reg. No. 44,523

MCB/kmv  
Encl.: as noted